

SARAH J. WILLIAMS

Sjw6018@psu.edu

OBJECTIVE

To teach, research and contribute at an accredited law school that values equity, professional growth, and scholarship.

EMPLOYMENT

Associate Professor of Law July 2024 – Present
Assistant Professor of Law July 2019 – June 2024
Penn State Dickinson Law | Carlisle, PA

Tenure-track professor of law at a law school of approximately 300 students that maintains principles of anti-racism as an educational pillar.

Deputy Director | Associate Director May 2003 – May 2018
Public Company Accounting Oversight Board | Washington, DC
Provided legal, administrative, and managerial services in quasi-governmental agency formed to oversee auditors of publicly traded companies after revelations of widespread financial fraud and the demise of accounting firm Arthur Andersen.

Associate General Counsel | Assistant General Counsel May 2000 – May 2003
National Association of Securities Dealers (now FINRA) | Washington, DC
Developed rule changes and industry guidance at regulatory agency responsible for overseeing securities professionals in the brokerage industry.

Commissioner's Counsel | Branch Chief | Staff Attorney March 1993 – May 2000
U.S. Securities and Exchange Commission | Washington, DC
Provided legal advice and policy recommendations to SEC Commissioner Isaac C. Hunt, Jr. Investigated and supervised investigations of financial fraud, insider trading, and market manipulation in the agency's Enforcement Division.

Associate September 1989 – March 1993
Arnold & Porter, LLP | Washington, DC
Performed legal research and drafted legal advice on matters relating to corporate, real estate, banking, and criminal law.

EDUCATION

Juris Doctorate
New York University School of Law | New York, NY

Bachelor of Arts Degree
Dartmouth College | Hanover, NH

RESEARCH AGENDA

Investigate and propose solutions, where appropriate, to perceived gaps in laws regulating participants in the securities industry. Agenda currently involves exploration of disclosure as a regulatory tool for public company auditors, and consideration of the correlation between social governance principles and improvements in public company audit quality.

ARTICLES, PUBLICATIONS AND WORKS-IN-PROGRESS

The Alchemy of Effective Auditor Regulation, 25 LEWIS & CLARK L. REV. 1089 (2022)

Are We Seeing Double? Regulatory Overlap Between the SEC and the PCAOB, The Columbia Law School Blue Sky Blog (2022) (invited)

Regulatory Personhood: The Elixir for Redundancy Between the SEC and the PCAOB, forthcoming 97 ST. JOHN'S L. REV. (2024)

Regulating Congressional Insider Trading: The Rotten Egg Approach, forthcoming 45 CARDOZO LAW REV. (2024), SSRN Top Ten download list for Legal Scholarship Network: Law & Society: Legislation eJournal (2023) and Jurisprudence & Theoretical Inquiry (2024)

Regulate Congressional Trading Through Registration Under the Securities Laws, The Columbia Law School Blue Sky Blog (2024) (invited)

Transparency Transition in Auditor Oversight, work-in-progress

AWARDS, CREATIVE ACCOMPLISHMENTS, PRESENTATIONS & WORKSHOPS

Doubting Disclosure: An Assessment of the PCAOB's Transparency Tactic, presented at the National Business Law Scholars Conference, UC Davis School of Law (June 2024)

"Up and Coming Scholar" Panelist, Symposium on the Future of ESG hosted by ILEP and Journal of Business Law, Penn Carey Law (November 2023)

"Regulating Congressional Insider Trading," presented at the Southeastern Association of Law Schools Conference (July 2023)

"Regulating Congressional Insider Trading," presented at National Business Law Scholars Conference, University of Tennessee College of Law (July 2023)

"Investor Education at the PCAOB," Panelist at Wharton Business School Workshop (June 2023)

"Disclosure Strategy at the PCAOB: Right Solution for the Wrong Agency," Presented at Lutie A. Lytle Black Women Law Faculty Workshop (June 2023)

"Juneteenth Celebration and Reflection," Guest Speaker at Penn State Health (June 2023)

"Racial Wolves of Wall Street," Board game created to stimulate discussion of the Racial Wealth Gap in course entitled Race and Equal Protection of the Law (February 2023)

"Regulatory Personhood," Presented at National Business Law Scholars Conference, University of Oklahoma College of law (June 2022)

Phillip M. Scott Teaching Excellence Award (received May 2022)

"Regulatory Personhood," Presented at Wharton Financial Regulation Conference (April 2022)

"Roundtable on Capitalism and the Rule of Law," Discussant at George Mason Law & Economics Center Event (March 2022)

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MEDIA CONTRIBUTIONS

Taylor Whartnaby, *Juneteenth Celebrated with Insight and Remembrance*, Fox56 News June 2024 (quoted)

Amanda Iacone, *KPMG's Big Four Dominance on Bank Audits Shaken by Failures*, Bloomberg Law June 2023 (quoted)

Amanda Iacone, *SEC Official Behind 1,000 Restatements Has Unusual Title: Acting*, Bloomberg Tax May 2022 (quoted)

Melissa Heelan, *Law School Ethics Becomes 'Real,' Tackles Covid, Social Justice*, Bloomberg Law January 2022 (quoted)

COURSE TEACHING PACKAGES, LAW SCHOOL SERVICE ASSIGNMENTS

ACADEMIC YEAR	COURSE (CREDIT UNITS)	SERVICE ASSIGNMENTS
2023-2024	Fall: Secured Transactions (3) Federal Securities Regulation (3) Spring: Property Law (4) Accounting for Lawyers (2)	Adjunct Faculty Observer Honor Code Committee Non-Tenure-Line Promotion & Review Committee 1L Faculty Working Group Academic Administrative Evaluation Committee
2022-2023	Fall: Secured Transactions(3) Federal Securities Regulation (3) Spring: Property Law (4) Accounting for Lawyers (2) Race & Equal Protection of the Law (.5)	Appointments Committee 1L Faculty Working Group
2021- 2022	Fall: Professional Responsibility (3) Federal Securities Regulation (3) Spring: Property Law (4) Accounting for Lawyers (2)	Appointments Committee Faculty Development Committee Orientation Committee 1L Faculty Working Group
2020-2021	Fall: Professional Responsibility (3) Federal Securities Regulation (3) Spring: Property Law (4) Accounting for Lawyers (2)	Curriculum & Sabbatical Committee Faculty Development Committee (Chair) Honor Code Committee 1L Faculty Working Group
2019-2020	Fall: Professional Responsibility (3) Spring: Property Law (4) Accounting for Lawyers (3)	Faculty Development Committee Honor Code Committee 1L Faculty Working Group

PUBLIC SERVICE ACTIVITIES

Board Member

Long Term Stock Exchange | New York, NY

2022 – 2023

Board Member | Volunteer Arbitrator

Attorney Client Arbitration Board of the DC Bar

2019 – Present

Member

ABA Committee on Law and Accounting

2023 - Present

BAR MEMBERSHIPS

New York, District of Columbia, Connecticut (inactive)